



JC05 Rec'd PCT/PTO 25 JUL 2001

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9/763507

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Franck Savard  
Serial No.: 09/763,507  
Filed: February 23, 2001  
Title: REAR DERAILLEUR DEVICE FOR A BICYCLE  
Docket No.: 33354

LETTER

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
14 AUG 2001  
L. ...  
International Division

Dear Sir:

The following are enclosed in response to the Notice to  
File Missing Parts mailed March 21, 2001:

- a) A copy of the Notification of Missing Requirements
- b) Petition under Rule 1.47(b)
- c) Declaration of Dominique Francois attached as Exhibit  
A to the Petition in support thereof
- d) Declaration of Almut Gersting attached as Exhibit B to  
the Petition in support thereof
- e) Letter of May 23, 2001 from Mr. Savard to Almut  
Gersting refusing to sign the application except on  
payment of EUR 2,000,000 attached as Attachment 1 to  
the Declaration of Almut Gersting
- f) Contract of Assignment of Interest in Patent  
Applications between Franck Savard and Christian

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Commissioner for Patents, Washington, D.C. 20231 on the date  
indicated below.

Steven J. Solomon

Name of Agent for Applicant

July 23, 2001 [Signature]  
Date Signature of Agent

Gauthier dated June 12, 1998 attached as Exhibit C to the petition in support thereof

- g) Contribution Contract between Franck Savard, Christian Gauthier, and EGS dated June 12, 1998 attached as Exhibit D to the Petition in support thereof
- h) Declaration and Power of Attorney under Rule 1.47(b) executed on behalf of Franck Savard by Kozo Kitagawa, Assistant Director of Shimano, Inc.
- i) Check for \$1020 to cover the fees stated in the Petition

Respectfully submitted,

PEARNE & GORDON LLP

By:   
Steven J. Solomon, Reg. No. P48719

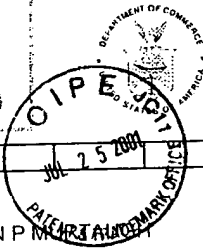
526 Superior Avenue East  
Suite 1200  
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Date: July 23, 2001

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PEARNE & GORDON LLP



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark

Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/763507	FIRST NAMED APPLICANT SAVARD	ATTY. DOCKET NO. F 33354
INTERNATIONAL APPLICATION NO. PCT/FR98/01854		
I.A. FILING DATE 26 AUG 98		PRIORITY DATE
DATE MAILED: 21 MAR 2001		

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**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494).
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☒ a non-English language.
  - ☐ English.
- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 23 FEB 2001 and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(c)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

- ☐ PCT/DO/EO/917
  - ☐ PTO-875
  - ☐ Notice of Defective Translation
- FORM PCT/DO/EO/905 (December 1997)

Winston M Alvarado  
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